

UNITED STATES OF AMERICA  
NATIONAL LABOR RELATIONS BOARD  
**CHARGE AGAINST EMPLOYER**

DO NOT WRITE IN THIS SPACE	
Case <b>16-CA-274428</b>	Date Filed <b>3/19/2021</b>

**INSTRUCTIONS**

File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring.

1. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT			
a. Name of Employer <b>ExxonMobil Oil Corporation</b>		b. Tel No. <b>346-259-0445</b>	
		c. Cell No. <b>346-978-0746</b>	
d. Address (Street, city, state and ZIP code) <b>P.O. Box 3311 Beaumont, TX 77704</b>		e. Employer Representative <b>Blake R. Berend, Beaumont Area HR Manager</b>	
		f. Fax No.	
		g. e-Mail <b>blake.r.berend@exxonmobil.com</b>	
		h. Number of workers employed <b>~650</b>	
i. Type of Establishment (factory, mine, wholesaler, etc.) <b>Refinery</b>		j. Identify principal product or service <b>Oil</b>	
k. The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of Section 8(a), subsections (1) and (list subsections) <u>(5)</u> of the National Labor Relations Act, and these unfair labor practices are practices affecting commerce within the meaning of the Act, or these unfair labor practices are unfair practices affecting commerce within the meaning of the Act and the Postal Reorganization Act.			
2. Basis of the Charge (set forth clear and concise statement of facts constituting the alleged unfair labor practices) <b>Within the 10(b) period, the above-named employer has engaged in bad faith bargaining in violation of Sections 8(a)(5) and (1) of the Act by, inter alia, engaging in surface bargaining, bargaining from a fixed position, prematurely declaring impasse, and unilaterally implementing a suspension of the company match contribution to the 401(k) plan in the absence of a bona fide impasse.</b>			
3. Full name of party filing charge (if labor organization, give full name, including local name and number) <b>United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied-Industrial and Service Workers International Union, AFL-CIO/CLC</b>			
4a. Address (Street and number, city, state and ZIP code) <b>60 Boulevard of the Allies, Room 807 Pittsburgh, PA 15222</b>		4b. Tel. No. <b>412.562.2554</b>	
		4c. Cell No.	
		4d. Fax No. <b>412.562.2429</b>	
		4e. e-Mail <b>kshaw@usw.org</b>	
5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization). <b>United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied-Industrial and Service Workers International Union, AFL-CIO/CLC</b>			
6. DECLARATION I declare that I have read the above charge and that the statements are true to the best of my knowledge and belief.		Tel. No. <b>412.526.2554</b>	
By <u>s/Katharine J. Shaw</u> (signature of representative of person making charge)		Office, if any, Cell No.	
<b>Katharine J. Shaw</b> Associate General Counsel (Print/type name and title or office, if any)		Fax No. <b>412.562.2429</b>	
Address <u>60 Boulevard of the Allies, Room 807 Pittsburgh, PA 15222</u>		e-Mail <b>kshaw@usw.org</b>	
		<u>March 19, 2021</u> (date)	

**WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)**

**PRIVACY ACT STATEMENT**

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.



UNITED STATES GOVERNMENT  
NATIONAL LABOR RELATIONS BOARD

REGION 16  
819 Taylor St - Rm 8A24  
Fort Worth, TX 76102-6107

Agency Website: [www.nlrb.gov](http://www.nlrb.gov)  
Telephone: (817)978-2921  
Fax: (817)978-2928



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Mobile App

March 22, 2021

KATHARINE J. SHAW, ASSOCIATE GENERAL  
COUNSEL  
UNITED STEEL, PAPER AND FORESTRY,  
RUBBER, MANUFACTURING, ENERGY,  
ALLIED-INDUSTRIAL AND SERVICE  
WORKERS INTERNATIONAL UNION,  
AFL-CIO, CLC  
60 BLVD OF THE ALLIES, RM 807  
PITTSBURGH, PA 15222-1214

Re: ExxonMobil Oil Corporation  
Case 16-CA-274428

DEAR MS. SHAW:

The charge that you filed in this case on March 19, 2021 has been docketed as case number 16-CA-274428. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

**Investigator:** This charge is being investigated by Field Examiner ZACHARY LONG whose telephone number is (682)703-7791. If this Board agent is not available, you may contact Resident Officer STEVE E. MARTINEZ whose telephone number is (210)417-4062.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701, Notice of Appearance*. This form is available on our website, [www.nlrb.gov](http://www.nlrb.gov), or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

**Presentation of Your Evidence:** As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present

your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

**Preservation of all Potential Evidence:** Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

**Prohibition on Recording Affidavit Interviews:** It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

**Correspondence:** All documents submitted to the Region regarding your case MUST be filed through the Agency's website, [www.nlrb.gov](http://www.nlrb.gov). This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, [www.nlrb.gov](http://www.nlrb.gov) or from an NLRB office upon your request. *NLRB Form 4541, Investigative Procedures* offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

March 22, 2021

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

A handwritten signature in black ink, appearing to read "Timothy L. Watson", with a stylized flourish at the end.

TIMOTHY L. WATSON  
REGIONAL DIRECTOR

Copy of charge only sent to:

DAVID R. JURY, GENERAL COUNSEL  
UNITED STEEL, PAPER AND FORESTRY,  
RUBBER, MANUFACTURING, ENERGY,  
ALLIED INDUSTRIAL AND SERVICE  
WORKERS INTERNATIONAL UNION  
60 BLVD OF THE ALLIES, RM 807  
USW LEGAL DEPARTMENT  
PITTSBURGH, PA 15222-1214



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Fax: (817)978-2928



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March 22, 2021

BLAKE BEREND, BEAUMONT AREA  
HR MANAGER  
EXXONMOBIL OIL CORPORATION  
PO BOX 3311  
BEAUMONT, TX 77704-3311

Re: ExxonMobil Oil Corporation  
Case 16-CA-274428

DEAR MR. BEREND:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

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**Presentation of Your Evidence:** We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board

agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

**Preservation of all Potential Evidence:** Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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March 22, 2021

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We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

A handwritten signature in black ink, appearing to read "Timothy L. Watson", with a stylized flourish at the end.

TIMOTHY L. WATSON  
REGIONAL DIRECTOR

Enclosures:

1. Copy of Charge
2. Commerce Questionnaire

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

United Steel, Paper and Forestry, Rubber, Manufacturing, Energy,  
Allied Industrial and Service Workers International Union, AFL-CIO-  
CLC

and  
EXXONMOBIL OIL CORPORATION

CASE 16-CA-274428

☒ REGIONAL DIRECTOR

☐ EXECUTIVE SECRETARY  
NATIONAL LABOR RELATIONS BOARD  
Washington, DC 20570

☐ GENERAL COUNSEL  
NATIONAL LABOR RELATIONS BOARD  
Washington, DC 20570

THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTATIVE OF \_\_\_\_\_  
United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied Industrial and Service Workers International  
Union, AFL-CIO-CLC

IN THE ABOVE-CAPTIONED MATTER.

CHECK THE APPROPRIATE BOX(ES) BELOW:

☒ REPRESENTATIVE IS AN ATTORNEY

☐ IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE THAT THE PARTY MAY RECEIVE COPIES OF CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN ADDITION TO THOSE DESCRIBED BELOW, THIS BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY WILL RECEIVE ONLY COPIES OF CERTAIN DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENTS AS DESCRIBED IN SEC. 11842.3 OF THE CASEHANDLING MANUAL.

(REPRESENTATIVE INFORMATION)

Joshua Shreve  
NAME: \_\_\_\_\_  
MAILING ADDRESS: 60 Boulevard of Allies, Room 807  
Pittsburgh, PA 15222  
E-MAIL ADDRESS: jshreve@usw.org  
OFFICE TELEPHONE NUMBER: 412-562-2531  
CELL PHONE NUMBER: \_\_\_\_\_ FAX: 412-562-2429  
SIGNATURE: /s/ Joshua Shreve  
(Please sign in ink.)  
DATE: 03/24/2021

<sup>1</sup> IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.



UNITED STATES OF AMERICA  
NATIONAL LABOR RELATIONS BOARD  
**AMENDED CHARGE AGAINST EMPLOYER**

**DO NOT WRITE IN THIS SPACE**

Case 16-CA-274428	Date Filed 4/14/2021
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**INSTRUCTIONS**

File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring.

1. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT			
a. Name of Employer  <b>ExxonMobil Oil Corporation</b>		b. Tel No. <b>346-259-0445</b>	
		c. Cell No. <b>346-978-0746</b>	
d. Address (Street, city, state and ZIP code)  <b>P.O. Box 3311 Beaumont, TX 77704</b>		e. Employer Representative  <b>Blake R. Berend, Beaumont Area HR Manager</b>	
		f. Fax No.	
		g. e-Mail <b>blake.r.berend@exxonmobil.com</b>	
		h. Number of workers employed <b>~650</b>	
i. Type of Establishment (factory, mine, wholesaler, etc.) <b>Refinery</b>		j. Identify principal product or service <b>Oil</b>	
k. The above-named employer has engaged in and is engaging in unfair labor practices within the meaning of Section 8(a), subsections (1) and (list subsections) <u>(5), 8(d)</u> of the National Labor Relations Act, and these unfair labor practices are practices affecting commerce within the meaning of the Act, or these unfair labor practices are unfair practices affecting commerce within the meaning of the Act and the Postal Reorganization Act.			
2. Basis of the Charge (set forth clear and concise statement of facts constituting the alleged unfair labor practices)  <b>Within the 10(b) period, the above-named employer has engaged in bad faith bargaining in violation of Sections 8(a)(5) and (1) of the Act by, <i>inter alia</i>, engaging in surface bargaining, bargaining from a fixed position, prematurely declaring impasse, and unilaterally implementing a suspension of the company match contribution to the 401(k) plan in the absence of a bona fide impasse and violated Section 8(d) of the Act by making this midterm modification.</b>			
3. Full name of party filing charge (if labor organization, give full name, including local name and number) <b>United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied-Industrial and Service Workers International Union, AFL-CIO/CLC</b>			
4a. Address (Street and number, city, state and ZIP code)  <b>60 Boulevard of the Allies, Room 807 Pittsburgh, PA 15222</b>		4b. Tel. No. <b>412.562.2554</b>	
		4c. Cell No.	
		4d. Fax No. <b>412.562.2429</b>	
		4e. e-Mail <b>kshaw@usw.org</b>	
5. Full name of national or international labor organization of which it is an affiliate or constituent unit (to be filled in when charge is filed by a labor organization). <b>United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied-Industrial and Service Workers International Union, AFL-CIO/CLC</b>			
6. DECLARATION I declare that I have read the above charge and that the statements are true to the best of my knowledge and belief.		Tel. No. <b>412.526.2554</b>	
By <u>s/Katharine J. Shaw</u> (signature of representative of person making charge)		Office, if any, Cell No.	
<b>Katharine J. Shaw</b> Associate General Counsel (Print/type name and title or office, if any)		Fax No. <b>412.562.2429</b>	
<b>60 Boulevard of the Allies, Room 807</b> Address <b>Pittsburgh, PA 15222</b>		<b>April 14, 2021</b> (date)  e-Mail <b>kshaw@usw.org</b>	

**WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)****PRIVACY ACT STATEMENT**

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April 19, 2021

KATHARINE J. SHAW, ASSOCIATE  
GENERAL COUNSEL  
UNITED STEEL, PAPER AND FORESTRY,  
RUBBER, MANUFACTURING, ENERGY,  
ALLIED-INDUSTRIAL AND SERVICE  
WORKERS INTERNATIONAL UNION,  
AFL-CIO, CLC  
60 BLVD OF THE ALLIES, RM 807  
PITTSBURGH, PA 15222-1214

Re: ExxonMobil Oil Corporation  
Case 16-CA-274428

DEAR MS. SHAW:

We have docketed the first amended charge that you filed in this case.

**Investigator:** This charge is being investigated by Field Examiner ZACHARY LONG whose telephone number is (682)703-7791. If the agent is not available, you may contact Resident Officer STEVE E. MARTINEZ whose telephone number is (210)417-4062.

**Presentation of Your Evidence:** As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. If you have additional evidence regarding the allegations in the first amended charge and you have not yet scheduled a date and time for the Board agent to obtain that evidence, please contact the Board agent to arrange to present that evidence. If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed.

**Preservation of all Potential Evidence:** Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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**Procedures:** Pursuant to Section 102.5 of the Board's Rules and Regulations, parties must submit all documentary evidence, including statements of position, exhibits, sworn statements, and/or other evidence, by electronically submitting (E-Filing) them through the Agency's web site ([www.nlr.gov](http://www.nlr.gov)). You must e-file all documents electronically or provide a written statement explaining why electronic submission is not possible or feasible. Failure to comply with Section 102.5 will result in rejection of your submission. The Region will make its determination on the merits solely based on the evidence properly submitted. All evidence submitted electronically should be in the form in which it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

If the Agency does not issue a formal complaint in this matter, parties will be notified of the Regional Director's decision by email. Please ensure that the agent handling your case has your current email address.

Very truly yours,

A handwritten signature in black ink, appearing to read "Timothy L. Watson", with a stylized, cursive script.

TIMOTHY L. WATSON  
REGIONAL DIRECTOR

cc: JOSHUA SHREVE, ASSISTANT GENERAL  
COUNSEL  
UNITED STEEL, PAPER AND FORESTRY,  
RUBBER, MANUFACTURING, ENERGY,  
ALLIED-INDUSTRIAL AND SERVICE  
WORKERS INTERNATIONAL UNION,  
AFL-CIO, CLC  
60 BLVD OF THE ALLIES, RM 807  
PITTSBURGH, PA 15222

Copy of charge only sent to:

DAVID R. JURY, GENERAL COUNSEL  
UNITED STEEL, PAPER AND FORESTRY,  
RUBBER, MANUFACTURING, ENERGY,  
ALLIED INDUSTRIAL AND SERVICE  
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April 19, 2021

BLAKE R. BEREND, BEAUMONT AREA  
HR MANAGER  
EXXONMOBIL OIL CORPORATION  
PO BOX 3311  
BEAUMONT, TX 77704-3311

Re: ExxonMobil Oil Corporation  
Case 16-CA-274428

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**Procedures:** Pursuant to Section 102.5 of the Board's Rules and Regulations, parties must submit all documentary evidence, including statements of position, exhibits, sworn statements, and/or other evidence, by electronically submitting (E-Filing) them through the Agency's web site ([www.nlrb.gov](http://www.nlrb.gov)). You must e-file all documents electronically or provide a written statement explaining why electronic submission is not possible or feasible. Failure to

comply with Section 102.5 will result in rejection of your submission. The Region will make its determination on the merits solely based on the evidence properly submitted. All evidence submitted electronically should be in the form in which it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

If the Agency does not issue a formal complaint in this matter, parties will be notified of the Regional Director's decision by email. Please ensure that the agent handling your case has your current email address.

Very truly yours,

A handwritten signature in black ink, appearing to read "Timothy L. Watson", with a stylized, sweeping flourish at the end.

TIMOTHY L. WATSON  
REGIONAL DIRECTOR

Enclosure: Copy of first amended charge